Serologic responses to SARS-CoV-2 infection among hospital staff with mild disease in eastern France

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ABSTRACT

Background: The serologic response of individuals with mild forms of SARS-CoV-2 infection is poorly characterized.
Methods: Hospital staff who had recovered from mild forms of PCR-confirmed SARS-CoV-2 infection were tested for anti-SARS-CoV-2 antibodies using two assays: a rapid immunodiagnostic test (99.4% specificity) and the S-Flow assay (~99% specificity). The neutralizing activity of the sera was tested with a pseudovirus-based assay.
Findings: Of 162 hospital staff who participated in the investigation, 160 reported SARS-CoV-2 infection that had not required hospital admission and were included in these analyses. The median time from symptom onset to blood sample collection was 24 days (IQR: 21–28, range 13–39). The rapid immunodiagnostic test detected antibodies in 153 (95.6%) of the samples and the S-Flow assay in 159 (99.4%), failing to detect antibodies in one sample collected 18 days after symptom onset (the rapid test did not detect antibodies in that patient). Neutralizing antibodies (NAb) were detected in 79%, 92% and 98% of samples collected 13, 21 and 28–41 days after symptom onset, respectively (P = 0.02).
Interpretation: Antibodies against SARS-CoV-2 were detected in virtually all hospital staff sampled from 13 days after the onset of COVID-19 symptoms. This finding supports the use of serologic testing for the diagnosis of individuals who have recovered from SARS-CoV-2 infection. The neutralizing activity of the

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antibodies increased over time. Future studies will help assess the persistence of the humoral response and its associated neutralization capacity in recovered patients.

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1. Introduction

A novel human coronavirus that is now named severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) emerged in Wuhan, China, in late 2019. In response, many countries have implemented large scale public health and social measures in an attempt to reduce transmission and minimize the impact of the outbreak. As the benefits of these measures are now becoming apparent in terms of a reduction in the daily incidence of SARS-CoV-2 infections and associated deaths, countries are looking for ways to lift these measures and resume economic and social activities. Ideally, the lifting of measures would occur if the population had built sufficient collective immunity, known as herd immunity, to the point that any reintroduction of the virus would not trigger a new epidemic wave. In this context, it is important to understand the extent to which infection has spread in communities, and to which those who have been infected may be protected from re-infection. This requires further understanding of antibody kinetics following SARS-CoV-2 infection.

Numerous serologic assays are now available [1], which provide information on extent of infection and estimates of protective immunity – that is, protection against re-infection. To date, it is thought that for hospitalised patients with COVID-19, seroconversion occurs within the second week following onset of symptoms, with a median time of 5–12 days for IgM antibodies and 14 days for IgG and IgA [2–7]. However, it remains unclear whether time to seroconversion may differ according to disease severity, and early reports suggest that individuals with mild infection may have delayed or absent seroconversion [3]. Further, the correlation between detection of antibodies generated in response to SARS-CoV-2 infection and protective immunity has not yet been established.

**Research in Context**

**Evidence before this study**

Severe and critical forms of Coronavirus disease 2019 (COVID-19) lead to seroconversion and induction of neutralizing antibodies. Less is known about the serological response triggered by mild COVID-19.

**Added value of this study**

Antibodies against SARS-CoV-2 were detected in all but one individual who have recovered from mild COVID-19. Neutralizing activity increases over time, with 98% of individuals displaying neutralizing antibodies at 28–41 days after symptom onset.

**Implications of all the available evidence**

Our results indicate that virtually all individuals experiencing mild COVID-19 seroconvert 14 days after onset of symptoms. Neutralizing response is delayed from seroconversion, eventually detectable in all seropositive individuals after 4 weeks. Future work is needed to determine the level of protection and how antibodies persist after acute induction.

The first three COVID-19 cases identified in France were reported on 24 January 2020 in travellers returning from Wuhan, China [8]. Between 17 and 24 February, a cluster of SARS-CoV-2 infection was detected in an annual religious gathering attended by 2500 people in Mulhouse, eastern France. Infected individuals went to regional hospitals, and this led to a cluster of infected staff at the Strasbourg University Hospitals from the first week of March. Most of them are young individuals who developed mild forms of disease.

The epidemic in Strasbourg, and specifically, the cluster of infected hospital staff, provides the opportunity to use serologic assays, to assess antibody kinetics in individuals who had recovered from COVID-19 and to understand how this correlates with protective immunity.

2. Materials and methods

2.1. Participants

Between 6 April and 8 April 2020, all hospital staff from Strasbourg University Hospitals with ICR-confirmed SARS-CoV-2 infection were invited to participate in the investigation. This invitation included doctors, nurses, physiotherapists, dentists, medical students, orderlies, hospital assistants, and hospital administrative staff.

Following informed consent, participants completed a questionnaire which covered sociodemographic information, underlying medical conditions, and details related to SARS-CoV-2 infection, including date of testing, date of symptom onset and a description of symptoms. The symptoms included in the survey were: abdominal pain, ageusia, anosmia, asthenia, dry cough, diarrhea, dyspnea, fever, feeling of fever, headache, chest pain, myalgia, nasal obstruction, nausea, pharyngitis, rhinitis, shivers, sweats, vomiting, other. A 5 mL blood sample was taken from all participants. The ICARE® platform (BRIF code n°BB-0033-00062) of Institut Pasteur collects and manages bioresources following ISO 9001 and NF S 96-900 quality standards [9].

2.2. Serologic response measurement

All serum samples were tested for antibody responses to SARS-CoV-2 using two serologic assays: 1) a CE-Approved lateral flow assay for detection of IgM and IgG against the SARS-CoV-2 RBD of the spike protein S developed by Biosynex® (COVID-19 BSS IgG/IgM); 2) the S-Flow assay, a flow-cytometry based assay that measures antibodies binding to the spike protein S (GenBank: QHD43416.1) expressed at the surface of 293T cells (ATCC® CRL-3216™) [10]. The rapid immunodiagnostic assay COVID-19 BSS IgG/IgM from Biosynex® has been approved by the French National Reference Center with excellent analytical performances (https://covid-19.sante.gouv.fr/tests). Combined IgM/IgG result has a specificity of 98% and a sensitivity of 95% for samples >14 after onset of symptoms (data available as a pre-print publication [11]). Two parameters can be calculated with the S-Flow assay: the first is the percentage of cells having captured antibodies, defining the seropositivity. The second is the mean fluorescence intensity (MFI) of this binding, which provides a quantitative measurement of the amount of antibodies and their efficacy [10]. As a control for the S-Flow tests, we included pre-epidemic specimens, providing cut-offs for the S-Flow >99% specificity (data available as a pre-print publication [10] and Fig. 1A). Samples were also tested for...
neutralization activity at a single dilution of 1:100 using a viral pseudotype-based assay recently described in a pre-print publication [10]. Briefly, single cycle lentiviral pseudotypes coated with the S protein and encoding for a luciferase reporter gene were preincubated with the serum to be tested at a dilution of 1:100, and added to 293T-ACE2 target cells (Addgene Plasmid #1786). The luciferase signal was measured after 48 h. The percentage of neutralization was calculated by comparing the signal obtained with each serum to the signal generated by control negative sera. In some analyses, we categorized the samples according to the extent of neutralization observed at the 1:100 dilution. Neutralizing activities >50% and >80% corresponded to inhibitory dilution 50% (ID50) >100 and ID80 >100, respectively.

2.3. Statistical analyses

Seropositivity was defined as the presence of detectable anti-SARS-CoV-2 antibodies. The proportion of seropositive samples was compared by time between onset of symptoms and collection of blood sample using chi-square test.

Antibody neutralizing activity was compared by age, gender, underlying medical conditions, time from symptom onset and type of symptoms using chi-square or Fisher’s exact test where appropriate. Logistic regression was used for multivariable analysis.

The S-Flow MFI and neutralization of sera were compared by delay since onset of symptoms using the Kruskall-Wallis non-parametric test. The S-Flow MFI of sera with ID50 and ID80 above or
below 100 were compared using Student’s t-test. The chi-square test was used to evaluate the association between investigated factors and neutralization levels. No sample size calculation was conducted prior to the study, all individuals willing to participate were included. Individuals hospitalized for COVID-19 were excluded from this analysis. Investigators were not blinded with respect to the origin of the samples. Randomisation was not applicable. All analyses were performed using Stata (Stata Corp., College Station, Texas, USA) or GraphPad Prism 8 (GraphPad Software, LLC).

2.4. Ethical considerations

This study was registered with ClinicalTrials.gov (NCT04325646) and received ethical approval by the Comité de Protection des Personnes Ile de France III. Informed consent was obtained from all participants.

3. Results

Between 6 April and 8 April 2020, 162 hospital staff from Strasbourg University Hospitals who had recovered from RT-PCR confirmed SARS-CoV-2 infection participated in the investigation. Two individuals who were hospitalized for COVID-19 were excluded from these analyses to determine serologic responses in those with mild forms of COVID-19. Table 1 indicates the characteristics of these 160 hospital staff. The median age was 32 years (inter quartile range (IQR): 26–44) and 50 (31.2%) were males. The majority of participants were medical students (28.1%), doctors (20.0%) or nurses (19.4%).

In terms of possible sources of SARS-CoV-2 infection, 74 (46.2%) reported having had contact with a COVID-19 patient either in the ward or in the emergency room. A further 38 (23.7%) reported having had contact with a COVID-19 case outside the health care setting. One hundred and fifty five (96.9%) had symptoms consistent with COVID-19 (dry cough, fever, dyspnea, anosmia or ageusia). The median time between onset of symptoms and PCR testing was 2 days (IQR: 1–4), and the median time from onset of symptoms to blood sampling was 24 days (IQR: 21–28, range 13–39).

Fig. 1 and Table 2 indicate the seropositivity rates detected by the three assays and categorized by the delay between onset of symptoms and collection of samples. Across all 160 participants, 159 had detectable anti-SARS-CoV-2 antibodies by S-Flow (99.4% sensitivity). The only participant whose serology was negative with all assays had a 58-year-old male with a body mass index of 32 kg/m2 and no underlying medical conditions. The only participant whose serology was negative with all assays had contact with a COVID-19 case outside the health care setting. As expected, none of the 134 pre-epidemic samples included as controls displayed anti-SARS-CoV-2 antibodies (Fig. 1A). The S-Flow MFI displays a significantly higher signal in individuals sampled at days 28–41 compared to those sampled at days 13–20 (Fig. 1A). These results suggest that the overall amount or the affinity of the antibodies improved with time since onset of symptoms.

The IgM rapid test appeared more sensitive than IgG (overall sensitivity: 88.1% vs 71.2%, respectively), especially at the earlier time-points (Table 2). The combination of IgG and IgM rapid test data increased the sensitivity to 95.6%.

Fig. 1B and Table 3 show the proportion of individuals with a neutralizing activity detectable at a 1:100 dilution of serum, using the pseudovirus neutralization assay. The proportion of samples with neutralizing activity increased over time (Fig. 1B), reflecting the increase of antibody titers observed with the S-Flow. The proportion of individuals with an ID50 ≥ 100 were 79%, 92% and 98% at 13–20, 21–27 and 28–41 days after symptom onset, respectively (P = 0.02 [chi-square test] Fig. 1B).

The associations between the neutralizing activity and the type of symptoms, age, underlying medical conditions and tobacco use are

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>N (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Male</td>
<td>50 (31.2)</td>
</tr>
<tr>
<td>Age (years), median (IQR)</td>
<td>32 (26–44)</td>
</tr>
<tr>
<td>Age group (years)</td>
<td></td>
</tr>
<tr>
<td>&lt; 20</td>
<td>66 (41.3)</td>
</tr>
<tr>
<td>20–39</td>
<td>40 (25.0)</td>
</tr>
<tr>
<td>≥ 40</td>
<td>26 (16.2)</td>
</tr>
<tr>
<td>Occupation</td>
<td></td>
</tr>
<tr>
<td>Physician</td>
<td>32 (20.0)</td>
</tr>
<tr>
<td>Nurse</td>
<td>31 (19.4)</td>
</tr>
<tr>
<td>Medical student</td>
<td>45 (28.1)</td>
</tr>
<tr>
<td>Orderly</td>
<td>17 (10.6)</td>
</tr>
<tr>
<td>Hospital assistant</td>
<td>4 (2.5)</td>
</tr>
<tr>
<td>Administrative staff</td>
<td>17 (10.6)</td>
</tr>
<tr>
<td>Other</td>
<td>14 (8.8)</td>
</tr>
<tr>
<td>Contact with COVID-19 patients</td>
<td></td>
</tr>
<tr>
<td>No</td>
<td>80 (50.0)</td>
</tr>
<tr>
<td>Yes</td>
<td>74 (46.3)</td>
</tr>
<tr>
<td>Missing</td>
<td>6 (3.7)</td>
</tr>
<tr>
<td>Level of potential exposure to COVID-19 patients*</td>
<td></td>
</tr>
<tr>
<td>None</td>
<td>10 (13.5)</td>
</tr>
<tr>
<td>Some exposure</td>
<td>27 (36.5)</td>
</tr>
<tr>
<td>High exposure</td>
<td>37 (50.0)</td>
</tr>
<tr>
<td>Types of care activities**</td>
<td></td>
</tr>
<tr>
<td>Mouth care</td>
<td>15 (40.5)</td>
</tr>
<tr>
<td>Intubation</td>
<td>13 (35.1)</td>
</tr>
<tr>
<td>Other contact with tracheo-bronchial sputum</td>
<td>16 (43.2)</td>
</tr>
<tr>
<td>Nasopharyngeal smear</td>
<td>7 (18.9)</td>
</tr>
<tr>
<td>Other</td>
<td>10 (27.0)</td>
</tr>
<tr>
<td>Symptoms</td>
<td></td>
</tr>
<tr>
<td>Minor only</td>
<td>5 (3.1)</td>
</tr>
<tr>
<td>Major (cough, fever, dyspnea, anosmia and ageusia)</td>
<td>155 (96.9)</td>
</tr>
<tr>
<td>Number of major symptoms</td>
<td></td>
</tr>
<tr>
<td>0</td>
<td>5 (3.1)</td>
</tr>
<tr>
<td>1</td>
<td>41 (25.6)</td>
</tr>
<tr>
<td>2</td>
<td>33 (20.6)</td>
</tr>
<tr>
<td>3</td>
<td>35 (21.9)</td>
</tr>
<tr>
<td>4</td>
<td>32 (20.0)</td>
</tr>
<tr>
<td>5</td>
<td>14 (8.8)</td>
</tr>
</tbody>
</table>

Table 1

Characteristics of the 160 hospital staff with PCR-confirmed SARS-CoV-2 infection.

* based on the 75 participants who reported having contact with COVID-19 patients.
** based on the 37 participants who reported having a care activity with high exposure.

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Table 2
Seropositivity with the different assays (Rapid test, S-Flow, and pseudoneutralization) according to the time after onset of symptoms.

<table>
<thead>
<tr>
<th>Time from onset of symptoms (days)</th>
<th>Rapid test IgM</th>
<th>P value (Chi-square test)</th>
<th>Neutralization ID80 &gt; 100</th>
<th>P value (Chi-square test)</th>
</tr>
</thead>
<tbody>
<tr>
<td>13–20 (n = 29)</td>
<td>26 (89.7)</td>
<td>0.02</td>
<td>40 (83.3)</td>
<td>0.47</td>
</tr>
<tr>
<td>21–27 (n = 83)</td>
<td>75 (90.4)</td>
<td>0.004</td>
<td>141 (88.1)</td>
<td></td>
</tr>
<tr>
<td>≥28 (n = 48)</td>
<td>40 (83.3)</td>
<td></td>
<td>141 (88.1)</td>
<td></td>
</tr>
</tbody>
</table>

Table 3
Proportion of 160 participants with protective immunity according to time since onset of symptoms, type of symptoms, age, underlying medical conditions and tobacco use.

<table>
<thead>
<tr>
<th>Exposure to patients</th>
<th>Neutralization ID50 &gt; 100</th>
<th>P value (Chi-square test)</th>
<th>Neutralization ID80 &gt; 100</th>
<th>P value (Chi-square test)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>19 (94.7)</td>
<td>0.02</td>
<td>11 (57.9)</td>
<td></td>
</tr>
<tr>
<td>No</td>
<td>124 (82.5)</td>
<td></td>
<td>85 (73.2)</td>
<td></td>
</tr>
</tbody>
</table>

4. Discussion

In this investigation, we described the serologic responses of 160 hospital staff who recovered from PCR-confirmed mild SARS-CoV-2 infection. Most studies published to date have based on hospitalized patients, and therefore have not been able to evaluate serologic responses in individuals with mild or subclinical infection. Since these individuals are currently understood to represent at least 80% of all SARS-CoV-2 infections [12], it is crucial to assess antibody responses in those with mild disease. In our study, we were able to show that all but one (99.4%) participant had detectable levels of anti-SARS-CoV-2 antibodies from 13 days after onset of symptoms. The differences observed between time to seroconversion across the different assays reflect their sensitivity. The S-Flow assay, which displays a high sensitivity, detected seroconversion in all but one sample. The rapid immunodiagnostic test performed well 21 days after onset of symptoms. The rapid test therefore has utility as a tool for diagnosis in the recovery phase of infection. The neutralization assay summarized in Table 3. The characteristics associated with neutralizing activity (ID50 > 100) were time since onset of symptoms (P = 0.02), absence of asthma (P = 0.02), and absence of a flu vaccine (P = 0.02) [chi-square test]. In a multivariable model including the three variables, none remained associated with neutralizing activity. We also analysed the association of high neutralizing activity (ID80 > 100) with patients characteristics. High neutralizing activity was associated with time since onset of symptoms (P = 0.004), having a dry cough (P < 0.04), high BMI (P = 0.02), and high blood pressure (P = 0.03) [chi-square test]. All these characteristics remained independently associated with high neutralizing activity in multivariable analysis except for high blood pressure (P = 0.11) [Logistic regression]. There was no association between neutralizing activity and ageusia, anosmia, or fever.

We next examined the relationship between the extent of antibody response and the neutralizing capacity of the sera. Regardless of the time post-symptom onset, samples with ID50 and ID80 > 100 displayed significantly higher signals in the S-Flow assay (Fig. 1C).

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was positive in 91% of the samples, and the extent of neutralization paralleled the levels of signal obtained with the S-Flow.

At the community level, countries that have implemented public health and social measures to limit transmission are now lifting some of these measures. Most of the evidence to date suggests that herd immunity after the first wave of the epidemic will be far from sufficient to provide protection against a second epidemic wave [13]. In our study, neutralizing ID50 ≥100 were found in 91% of the individuals. We further report that the neutralization activity of the serum increases with time, reaching 98% four weeks after the onset of symptoms. Therefore, it is a fair assumption that the majority of individuals with mild COVID-19 generate neutralizing antibodies within a month after onset of symptoms. Although not yet demonstrated, several lines of evidence suggest that the presence of neutralizing antibodies may be associated with protective immunity for SARS-CoV-2 infection. In humans, passive immunotherapy based on transfer of antibodies from recovered COVID-19 patients decreases disease severity [5,6,14,15]. In a monkey model, protection from a second SARS-CoV-2 infection is associated with the presence of neutralizing antibodies in the serum[16] and pre-print publication [17]. SARS-CoV-2 NAbs are known to be present in symptomatic individuals [4,18–20]. In a pre-print study of 175 convalescent patients with mild symptoms, NABs were most often detected 10–15 days after symptom onset [19]. However, about 30% of recovered patients generated low titers of NABs (≥1:500), even at a later time point [19]. In another pre-print publication, 89% of 624 PCR-confirmed mild COVID-19 patients were positive by ELISA [21]. Individuals with a weakly positive or a negative result were retested after at least 10 days. Only 3 individuals remained negative after this second visit, showing that ELISA titers increase overtime [21]. Our results are in line with these observations and indicate that recovery from mild cases is generally, but not always, associated with high titers of NABs in the serum. Indeed, we report here that one month after the onset of symptoms, 98% and 77% of individuals display NABs with an ID50 and ID80 ≥100, respectively. Antibody titers are generally higher in patients with severe or critical diseases [5,19]. Interestingly, in our study, individuals with factors associated with more severe disease (e.g., male sex, high body mass index and high blood pressure), were more likely to have high titers of neutralizing antibodies compared to others. This may be due to a higher antigenic burden in such individuals, which will generate a stronger humoral response, or may, on the contrary, suggest that some antibodies may play a deleterious role during infection [22]. Future studies are warranted to characterize the beneficial or detrimental role of specific antibodies in COVID-19 patients and the minimal titer required for protection. Our study has some limitations. First, due to the study design, we did not include asymptomatic individuals. PCR tests were only performed on symptomatic individuals, precluding the identification and inclusion of asymptomatic individuals in our cohort. Second, neutralization was performed at a single dilution of 1:100, which does not allow the calculation of an exact titer. Third, we only assessed the response to the S proteins. Of note, recent characterizations of asymptomatic individuals suggest a decreased antibody response in those individuals ([23] and pre-print publication [24]). Future work is needed to comprehensively characterize the antibody response in asymptomatic individuals and minor to mild forms of COVID-19.

For patients with SARS-CoV-1, antibodies persist for at least 2 years after symptomatic infection [25]. In the case of Middle East Respiratory Syndrome (MERS)-CoV, the antibody response is variable, not robust, and often undetectable when disease is mild [26–29]. Future studies will help evaluating the persistence of antibodies upon SARS-CoV-2 infection. The cohort of hospital staff described here provides the opportunity to study the duration of the humoral response and the dynamics of the neutralization capacity of the sera. A clinical and virological assessment of potential reinfections will also help establishing the links that may exist between the antibody response and immune protection.

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**Authors contribution**

Conceptualization and Methodology: SFK, TB, YM, OS, AF.

Cohort management and sample collection: SFK, YM, RG, LT, SFP, NJ, CR, MNU, CSM, NC, AB, AV, NL, MM, NM, DR., BH, JDS, AF.

Serological and seroneutralization assays: TB, LG, IS, FA, PS, PC, OS.

Data assembly and manuscript writing: SFK, TB, YM, RG, LT, OS, AF.

Funding acquisition: PC, TR, BH, JDS, OS, AF.

**Supervision:** OS, AF.

**Data sharing**

Data are available upon reasonable request.

**Declaration of Competing Interest**

SFK, YM, RG, LT, FA, PS, CSM, NC, AB, AV, NL, MM, NM, DR., BH, JDS and AF have no competing interest to declare. PC is the founder and CSO of TheraVecs. LG, IS, TB, and OS are holder of a provisional patent on the S-Flow assay. Dr. Schwartz has a patent "Methods and products for serological analysis of SARS-CoV-2 infection" pending on the S-Flow assay. Dr. Rey reports grants and personal fees from Mylan, personal fees from ViIV Healthcare, grants from Gilead, grants from Abbvie, outside the submitted work.

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